Lithium-Ion Batteries



# Direct Visualization of the Reversible O<sup>2-</sup>/O<sup>-</sup> Redox Process in Li-Rich Cathode Materials

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Conventional cathodes of Li-ion batteries mainly operate through an insertion-extraction process involving transition metal redox. These cathodes will not be able to meet the increasing requirements until lithium-rich layered oxides emerge with beyond-capacity performance. Nevertheless, in-depth understanding of the evolution of crystal and excess capacity delivered by Lirich layered oxides is insufficient. Herein, various in situ technologies such as X-ray diffraction and Raman spectroscopy are employed for a typical material Li<sub>1.2</sub>Ni<sub>0.2</sub>Mn<sub>0.6</sub>O<sub>2</sub>, directly visualizing O<sup>-</sup>—O<sup>-</sup> (peroxo oxygen dimers) bonding mostly along the c-axis and demonstrating the reversible  $O^{2-}/O^{-}$  redox process. Additionally, the formation of the peroxo O-O bond is calculated via density functional theory, and the corresponding O-O bond length of ≈1.3 Å matches well with the in situ Raman results. These findings enrich the oxygen chemistry in layered oxides and open opportunities to design highperformance positive electrodes for lithium-ion batteries.

The applications of lithium-ion batteries (LIBs) like mobile devices are familiar in our daily lives.<sup>[1]</sup> However, the energy density of nowadays LIBs is still unsatisfying toward our increasing requirements.<sup>[2,3]</sup> The most common positive electrodes, such as LiCoO<sub>2</sub>, LiFePO<sub>4</sub>, and LiMn<sub>2</sub>O<sub>4</sub>, are still limited to the unsatisfied capacity, hindering the development of LIBs.<sup>[4]</sup> To allay the gap between supply and demand, we should

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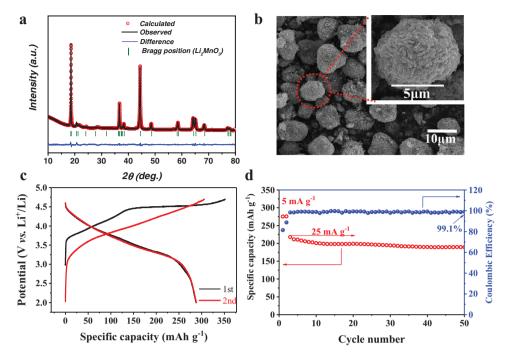
The ORCID identification number(s) for the author(s) of this article can be found under https://doi.org/10.1002/adma.201705197.

DOI: 10.1002/adma.201705197

settle the capacity issue. Therefore, aiming at improving the battery performance, a lot of efforts have been made in searching for better electrode materials with high capacity and good cyclic ability.[5]

Recently, lithium-rich layered oxides  $Li_{1.2}Ni_{0.166}Co_{0.067}Mn_{0.567}O_2$ higher capacities than 250 mA h g<sup>-1</sup> were reported.<sup>[6]</sup> These Li-rich materials can deliver excess capacity beyond the theoretical capacity based on cationic redox process, having received worldwide attention. The compounds (1-x)LiNi<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>2</sub>·xLi<sub>2</sub>MnO<sub>3</sub>, which can be also written as  $\text{Li}[\text{Li}_{(1-2x)/3}\text{Ni}_x\text{Mn}_{(2-x)/3}\text{O}_2]$ , are well known.<sup>[7,8]</sup> However, the mechanism based on cationic redox process is unable to explain the anomalous capacities exhibited by Li-rich materials. Thus,

another new process, oxygen activation has been proposed to account for the phenomenon. Luo et al. pointed out that localized electron holes are formed on oxygen ions with the configuration surrounding by Mn<sup>4+</sup> and Li<sup>+</sup> in Li<sub>1.2</sub>[Ni<sub>0.13</sub>Co<sub>0.13</sub>Mn<sub>0.54</sub>]O<sub>2</sub>.<sup>[9]</sup> Seo et al. depicted that oxygens are easily oxidized due to the Li-O-Li configuration.[10] Sathiya et al. observed the peroxolike species formed reversible in Li<sub>2</sub>Ru<sub>1-v</sub>Sn<sub>v</sub>O<sub>3</sub>.<sup>[11]</sup> However, all above-mentioned results are lacking in direct especially in situ evidence for deep insight into the microstructure evolution of Li-rich layered oxides. McCalla et al. visualized the O-O dimers via transmission electron microscopy (TEM) and neutron powder diffraction by using Li<sub>2</sub>IrO<sub>3</sub> as a model compound and determined the possible limits on the value of n for peroxolike  $O_2^{n-}$  dimers (the lower bound n=3 and the upper n=3.3), leading to a further understanding of anionic redox process.[12] Here, we focus on the representative material Li<sub>1,2</sub>Ni<sub>0,2</sub>Mn<sub>0,6</sub>O<sub>2</sub> and investigate its charge-discharge processes during initial cycles by in situ X-ray diffraction (XRD) and in situ Raman spectroscopy. We demonstrate the structural change by the continuous shift of c-parameter and the direct evidence of peroxo O-O bond formation and extinction  $(O_2^{2-})$  dimers). What is more, the well reversible formation/extinction of the dimers can be clearly observed in subsequent cycles, accompanied with synchronous structural change. Moreover, we can speculate that the peroxo O—O bond is along the *c*-axis by combining the variation trend of *c* lattice and peroxo O–O bond distance. Our findings provide a direct and new evidence for the reversible anionic redox chemistry in Li-rich cathode materials and a guideline toward designing the next generation of cathode



**Figure 1.** XRD, SEM, and electrochemical characterizations of  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$ . a) XRD patterns of  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$  and Rietveld refinement. b) SEM images of  $\text{Li}_{1.2}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$ . The inset shows a zoom-in image of the particle marked by the red dotted line. c) Typical charge–discharge profiles between 2.0 and 4.7 V at 5 mA g<sup>-1</sup>. d) The cycling performance with coulombic efficiency at 5 mA g<sup>-1</sup> (first two cycles) and 25 mA g<sup>-1</sup> (subsequent cycles).

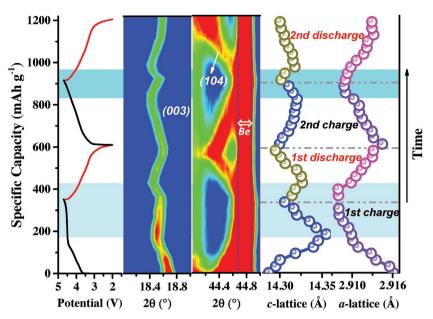
materials with both cationic and anionic reversible redox process which can deliver substantially high energy density.

The compound was characterized by powder XRD and scanning electron microscopy (SEM) in Figure 1a,b. Li<sub>1,2</sub>Ni<sub>0,2</sub>Mn<sub>0,6</sub>O<sub>2</sub> is derived from Li<sub>2</sub>MnO<sub>3</sub> by using Ni<sup>2+</sup> to replace partial Li<sup>+</sup> and Mn<sup>4+</sup> in transition metal (TM) layers. The XRD pattern indicates that most of the diffraction lines can be well indexed to a monoclinic Li<sub>2</sub>MnO<sub>3</sub>-like structure with space group C2/m.<sup>[13]</sup> Rietveld refinements of the XRD pattern obtained by the General Structure Analysis System (GSAS) + EXPGUI suite<sup>[14]</sup> successfully give reasonably low  $\chi^2$  (2.72) value based on Li<sub>2</sub>MnO<sub>3</sub> model. The calculated XRD patterns have a good match with experimental data. The detailed refinement results are shown in Table S17 in the Supporting Information. SEM images in Figure 1b reveal that spheroidal particles with a diameter of around 8 µm are secondary particles formed from primary nanocrystals. The morphology of the particles is inherited from their hydroxide precursors (Figure S1, Supporting Information).

The electrochemical tests of as-prepared cathode were performed galvanostatically within the potential window (vs Li/Li<sup>+</sup>) of 2.0–4.7 V using 5 mA g<sup>-1</sup>. Figure 1c shows the results during the first two cycles and corresponding dQ/dV curves can be seen in Figure S2 in the Supporting Information. During the first charging process, the peaks located at  $\approx\!3.75$  and 4.2 V are ascribed to the separate oxidation of nickel. The slope and plateau in initial charging process relate to the different Li<sup>+</sup> extraction processes. It is easy to see the capacity of first charging is 350 mA h g<sup>-1</sup>, indicating there are more than 1.1 Li<sup>+</sup> removed from the structure. It is worth noting that there are only 0.4 Li<sup>+</sup> can be removed when Ni<sup>2+</sup> is totally oxidized to Ni<sup>4+</sup>, corresponding  $\approx\!130$  mA h g<sup>-1</sup> at this point, far less than 350.[3,8,15] The capacity of first discharging is  $\approx\!287$  mA h g<sup>-1</sup>, indicating

that there are about 0.9 Li<sup>+</sup> reinserted into the structure, leading to about 0.2 Li<sup>+</sup> loss. Figure 1d presents the cycling performance and coulombic efficiency. The capacity retention of the cathode at 25 mA g<sup>-1</sup> after 50 cycles is 90.90% with limited capacity decay. If we focus on cations in this composition, capacity will only come from Ni-based redox process because of electrochemical inertness of Mn<sup>4+</sup>. As mentioned above, it is available to understand the relationship between the excess capacity and the evolution of the structure.

To unveil the lithiation-delithiation mechanism in Li<sub>1,2</sub>Ni<sub>0,2</sub>Mn<sub>0,6</sub>O<sub>2</sub> during cycling processes, in situ XRD was performed for the initial two cycles, displayed in Figure 2 and Figure S4 in the Supporting Information. The XRD pattern of cathode material assembled in the in situ cell before test is shown in Figure S3 in the Supporting Information. For the reason of structural similarity between Li<sub>2</sub>MnO<sub>3</sub> and LiNi<sub>0.5</sub>Mn<sub>0.5</sub>O<sub>2</sub>, in situ XRD patterns can be fitted to hexagonal unit cell for convenience.[16] As it can be seen, the peak position, such as (003) and (104), changes regularly with the process of charging and discharging, representing reversible Li-ion insertion-extraction during the test. (003) peak directly reflects the evolution of c lattice parameter of the compound. Therefore, it can be used for further understanding of the phase transformation mechanism in the system. As seen in Figure 2, at the beginning of the first charging, (003) peak shifts to the left continuously then gradually shifts back to the high angle region till the end of charging. The electrochemical record shows the turning point of (003) shifting is around 4.5 V. It means c lattice value increases at first until the voltage reaches to 4.5 V, followed by a sequential decrease. During discharging, reversed shift compared to the charging process is obvious. The evolution of (003) peak shifting within the first two cycles can



**Figure 2.** Color-coded images of the peak (003) and (104), refined *c*-lattice, and *a*-lattice parameters during initial two cycles. The range of intensity distribution plots with reference color bar is from 3000 to 5000.

be seen clearly in color graph in Figure 2. More importantly, this tendency of (003) shifts during the second cycle is similar to the first cycle.

The Rietveld refinement of the *a* and *c* lattice parameters for the structure together with corresponding unit cell volume changing during the first two cycles are displayed in Figure 2 and Figure S5 in the Supporting Information. Figure 2 discloses the *a*-lattice parameter continuously decrease whereas *c*-lattice parameter increases at the beginning and then decreases during the charge steps. At the beginning, the reduced ionic

radii in TM layers may be the reason why a-parameter decreases.<sup>[4]</sup> The extraction of Li<sup>+</sup> from the Li-layers is accompanied by the increasing electrostatic repulsion between oxygen slabs, making an extendibility of unit cell along c-axis, corresponding to the shift of (003) to lower angles.[17] And then, the c-parameter and a-parameter change smoothly, indicating that some different reactions appear in this region. And during this region, Li+ begins to extract from the TM slabs, leading the inverse changes of unit cell and shifting (003) peak to higher reflection angles. The variation is consistent with the previous work.[18] During discharge, the reversed shift of the (003), (104) peak can be observed, indicating some opposite processes happens compared with charging. Notably, the changes of the peaks' position during the 2nd cycle are analogous to the first cycle, representing the parallel lithiation-delithiation mechanism, which coincides with the discussion above.

Recently, the observation of higher capacity in relevant systems tends to be

ascribed to the oxygen-related anionic electrochemical process. [9,19,20] Thus, as a powerful tool to investigate the oxygen redox behaviors, [21,22] in situ Raman has been employed (**Figure 3**, wider Raman shift region is shown in Figure S6, Supporting Information). In order to collect shell-isolated nanoparticle-enhanced Raman signal, gold nanoparticles (NPs)  $\approx$ 40 nm in diameter with an SiO<sub>2</sub> coating shell ( $\approx$ 5 nm) were synthesized as in previous reports. [23] The washed and dried Au@SiO<sub>2</sub> NPs were dripped onto the specific cathode surface and vacuum dried before assembly. Regardless of the

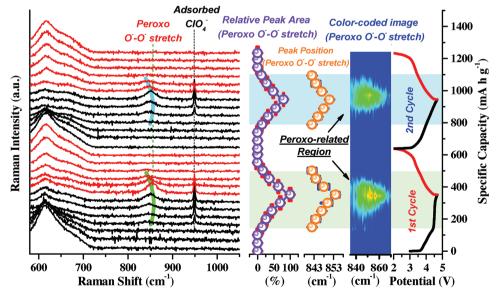


Figure 3. Capacity dependent in situ Raman spectra recorded during initial two galvanostatic cycles (5 mA g<sup>-1</sup>). The novel peroxo-species peak has been highlighted, and corresponding capacity dependence of peak area (purple hollow), peak position (orange hollow), and color-coded image are summarized, respectively. The range of intensity distribution plots with reference color bar is from 0 to 0.03. The related voltage profiles are shown for clarity.



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detailed analysis on overlapping stretching modes in MO<sub>6</sub> octahedron (below 700 cm<sup>-1</sup>), [24] the variation trend of several novel peaks become noteworthy during cycling. One sharp peak can be observed at 953 cm<sup>-1</sup> once the potential climbs up to 4.4 V, which is due to the adsorption of the anion in perchlorate salt (ClO<sub>4</sub><sup>-</sup> symmetric stretching).<sup>[22]</sup> The related peak intensity quickly reaches maximum value due to the saturation of adsorption on the cathode surface. Besides, the unique adsorption feature can be further proved by the potential-dependent dropping trend during discharging (see more details in Figure S7, Supporting Information). More importantly, within the typical peroxo O-O stretch region (700-900 cm<sup>-1</sup>) in peroxo-species (see more details in Figure S8, Supporting Information),[9,22] a new peak at ≈850 cm<sup>-1</sup> emerges and increases during the 4.5 V related charging plateau, and gradually disappears with the subsequent discharge process. The potential of O-O peroxo bond appearance would be different in other systems resulting from different thermodynamic conditions, which means the environment (such as space group, neighbored atoms) surrounding oxygen atoms.<sup>[25]</sup> The reversible variation trend of the peroxo O-O bond can also be obtained on specific high voltage plateau during the 2nd cycle. The average concentration of peroxo O-O bond can be represented by relative peak area which is also shown in Figure 3. The relative peak area of peroxo O-O bond equals zero (means no O-Obond appears) before 4.5 V related charging plateau, then increases gradually to the maximum corresponding to the end of charging as a function of time. The area decreases to zero subsequently in discharge process and exhibits reversible variation trend during the 2nd cycle. Moreover, according to the obtained XRD results (Figure 2), the formation of the newly proposed peroxo O-O bond can be assigned to the delithiation from Li/TM layer. During charging at 4.5 V plateau, the shift of peroxo O-O stretch to higher wavenumber indicates the reduction of peroxo O-O bond length in peroxo-species.<sup>[18]</sup> The peroxo O-O bond length range can be empirically considered as 1.28-1.48 Å (1.28 Å in Li<sub>2</sub>O<sub>2</sub> where peroxo O-O bond located at 790 cm<sup>-1</sup> and 1.48 Å in H<sub>2</sub>O<sub>2</sub> where peroxo O-O bond located at 878 cm<sup>-1</sup>).<sup>[26]</sup> Combining with the decreasing trend of c-axis length during related stage (Figure 2), the peroxo O-O bond tends to be formed along the c-axis (not in ab plane), which is also well consistent with the mechanism proposed by related density functional theory (DFT) simulations.<sup>[27]</sup> In this case, the causality among delithiation/lithiation between Li/TM layer, the variation of caxis and the formation/decomposition of peroxo O-O bond can be rationally unified together. Consequently, essentially different from the peroxo-like  $(O_2)^{n-}$ -based redox process proposed by previous ex situ X-ray photoelectron spectroscopy (XPS) analysis, [11,19,28] herein, the operando observation and assignment of real peroxo O-O bond in peroxo-species provides new evidence for the reversible anionic redox chemistry in Li-rich cathode materials. Furthermore, the similar phenomenon in LiPF<sub>6</sub>-salt electrolyte (1 M in propylene carbonate) can be seen in Figure S9 in the Supporting Information. Moreover, XPS etching experiment reveals that the peroxo O-O bond exists both in the surface and in the bulk (see more details in Figure S10, Supporting Information).

Besides the typical irreversible oxygen loss (such as the formation of O<sub>2</sub>, O<sub>2</sub><sup>-</sup>) in the lithium rich materials, <sup>[9,29]</sup> herein, we demonstrate a reversible oxygen behavior with the generation of O<sub>2</sub><sup>2-</sup> dimers, which can be sustainable in the subsequent cycle. To better understand the formation of the peroxo O-O bond during cycling, the first-principles calculations for the Li<sub>1,2-x</sub>Ni<sub>0,2</sub>Mn<sub>0,6</sub>O<sub>2</sub> systems have been performed. We note that the excess lithium ordering in the transition metal layer of Li<sub>1,2</sub>Ni<sub>0,2</sub>Mn<sub>0,6</sub>O<sub>2</sub> is very complicated and a mixture of different types of local ordering.<sup>[30]</sup> However, the Li<sub>1.2</sub>Ni<sub>0.2</sub>Mn<sub>0.6</sub>O<sub>2</sub> with honeycomb ordering of excess lithium atoms experiences an energy barrier of 1.4 eV for the formation of peroxo bonds, compared to 0.6–1 eV of Li<sub>2</sub>MnO<sub>3</sub>,<sup>[31]</sup> which is not realistic to occur during the room temperature cycling (see Figures S11-S13, Supporting Information, for detailed discussions). Here, we are especially interested in Li<sub>1.2</sub>Ni<sub>0.2</sub>Mn<sub>0.6</sub>O<sub>2</sub> with local straighttype tripolymers (Figure S14, Supporting Information), where the formation of peroxo bonds experiences no energy barrier during charging.

Thus, the Li<sub>1.2</sub>Ni<sub>0.2</sub>Mn<sub>0.6</sub>O<sub>2</sub> crystal structure with local straight-type was built using a  $5 \times 2 \times 1$  supercell of the R $\overline{3}$ m LiMnO<sub>2</sub> with partial Mn atoms replaced by Ni and Li atoms, as shown in Figure S14 in the Supporting Information. First, the Li<sub>1.2</sub>Ni<sub>0.2</sub>Mn<sub>0.6</sub>O<sub>2</sub> crystal structure was relaxed, and the optimized lattice parameters of  $Li_{1.2}Ni_{0.2}Mn_{0.6}O_2$  unit cell are a =14.49, b = 5.81, and c = 14.26 Å, respectively. Then, the crystal structures and atomic positions of the Li<sub>1,2-x</sub>Ni<sub>0,2</sub>Mn<sub>0,6</sub>O<sub>2</sub> systems during the whole charging processes were relaxed, and the corresponding charge density distributions for x = 0.6, 0.7, 0.8, 0.9, 1.0, and 1.1 were shown in Figure 4. During the initial charging process (x from 0 to 0.6), some lithium atoms in lithium layers are preferentially divorced from the Li<sub>1,2-x</sub>Ni<sub>0,2</sub>Mn<sub>0,6</sub>O<sub>2</sub> cathode. No peroxo O-O bond is observed during this initial charging period. When reaching the middle period (i.e., x = 0.7), some excess lithium atoms in the TM-layers begin to leave from Li<sub>0.5</sub>Ni<sub>0.2</sub>Mn<sub>0.6</sub>O<sub>2</sub> cathode, and two adjacent O atoms of the MnO6 octahedron near the excess lithium vacancies get closer to each other. Moreover, remarkable electrons between these two closer O atoms can be observed (yellow isosurfaces in black dashed circles), demonstrating the formation of O-O covalent bond, and the corresponding O-O bond length is calculated to be 1.343 Å (Table 1), which is much shorter than 2.6 Å of interatomic distance between adjacent O atoms in MnO6 octahedrons, and even shorter than 1.49 Å of the peroxo O-O bond length in Li-Rich Li<sub>2</sub>MnO<sub>3</sub> system.<sup>[31]</sup> According to the Bader charge data (Figure S15, Supporting Information), the O atomic charges of the special O-O bond in Li<sub>0.5</sub>Ni<sub>0.2</sub>Mn<sub>0.6</sub>O<sub>2</sub> cathode are larger than those in Li<sub>0.6</sub>Ni<sub>0.2</sub>Mn<sub>0.6</sub>O<sub>2</sub> and other O atoms far from the excess lithium vacancies. All these evidence indicate the formation of the peroxo O-O bond between x = 0.6 and 0.7, corresponding to the specific capacity between 190 and 220 mA h g<sup>-1</sup>, which is consistent with the in situ Raman spectra data. During the final charging period (x = 0.8-1.1), more and more peroxo O-O bonds form with smaller bond lengths and more positive O atomic charges, which is also consistent with the variation trend of Raman shift and relative peak area of O-O bonds in our in situ Raman spectra data.

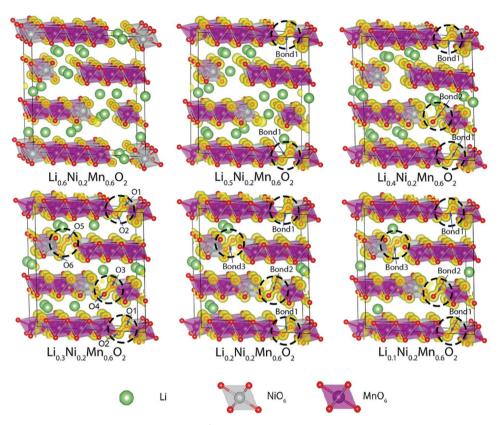


Figure 4. Charge density distributions (yellow isosurfaces =  $0.2 \text{ e Å}^{-3}$ ) of the  $\text{Li}_{1.2-x}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$  systems (x = 0.6, 0.7, 0.8, 0.9, 1.0, and 1.1) with peroxo O—O bonds (in the black dashed circles) during the charging and discharging processes.

The processes summarizing the aforementioned discussion are as follows: At first, Li<sup>+</sup> migrates out from Li layers with the charge compensation by Ni<sup>2+/4+</sup> redox couple, corresponding to  $\approx$ 130 mA h g<sup>-1</sup>. From then on, oxidation of oxygen starts to emerge for charging compensation till the end of the charging because Ni<sup>2+</sup> is totally oxidized to Ni<sup>4+</sup> and Mn<sup>4+</sup> cannot be oxidized to a higher valence state in octahedral site.<sup>[32]</sup> Afterward, the oxidation of oxygen is the main responsible for charge compensation at the plateau of  $\approx$ 4.5 V. Since about 1.1 Li<sup>+</sup> can be extracted from the structure, Li<sup>+</sup> continues to be removed out from Li layers and TM layers accompanied with appearance of peroxo O—O bond. The cooperative effect of anionic redox and extraction of Li<sup>+</sup> leads to the smooth change of *c*-lattice parameter and eventually turns it back to a lower value at this process. Figure S16 in the Supporting Information shows the par-

**Table 1.** The peroxo O—O bond length (in Å) of the  $\text{Li}_{1.2\rightarrow x}\text{Ni}_{0.2}\text{Mn}_{0.6}\text{O}_2$  systems (x=0.6, 0.7, 0.8, 0.9, 1.0, and 1.1) during the charging and discharging processes.

O-O bond1	O-O bond2	O-O bond3
-	-	_
1.343	_	_
1.315	1.415	_
1.314	1.348	1.350
1.306	1.308	1.341
1.305	1.307	1.310
	- 1.343 1.315 1.314 1.306	1.343 - 1.315 1.415 1.348 1.306 1.308

ticipation of Ni<sup>2+</sup> and oxygen involvement during the charging process. The charge compensation during discharging process is similar with charging process. The changes of c-lattice parameter shows a cooperation including the reversible anionic (O<sup>-</sup>/O<sup>2-</sup>) redox process, the reduction of Ni and the reinsertion of Li<sup>+</sup> into the structure. Moreover, combining the changes of peroxo O–O bond length in Raman results with the variation tendency of c-axis length in XRD results, the formation of peroxo O–O bond is speculated along the c-axis, which is also confirmed by the DFT calculations.

In summary, the typical Li-rich positive material  $\mathrm{Li_{1.2}Ni_{0.2}Mn_{0.6}O_2}$  was systematically studied. Based on the advanced in situ technologies, we can directly visualize the structural evolution accompanied by the (de)lithiation, including the reversible anionic redox process, even peroxo O—O bond formation and extinction along c axis, which are consistent well with the DFT calculations. What is more, both cationic and anionic redox processes are reversible even in subsequent cycles, enabling Li-rich layered oxides a high capacity. Our findings highlight a new evidence for the reversible anionic redox process in Li-rich cathode materials and provide a deep understanding of intercalation chemistry and new insights into the design of high-performance Li-rich layered oxides.

## **Supporting Information**

Supporting Information is available from the Wiley Online Library or from the author.

## Acknowledgements

X.L. and Y.Q. contributed equally to this work. X.L. is grateful for financial support of the CSC (China Scholarship Council) scholarship. This work was partially supported financially by National Basic Research Program of China (2014CB932302 and 2014CB932303), Natural Science Foundation of Jiangsu Province of China (BK20170630), the Fundamental Research Funds for the Central Universities (021314380076 and 021314380080), the National Natural Science Foundation of China (21673116, 2163303, 51602144), and the Natural Science Foundation of Jiangsu Province of China (BK20160068). The authors are very grateful to Prof. Ying Shirley Meng and Prof. Shou-Hang Bo for the discussion.

#### **Conflict of Interest**

The authors declare no conflict of interest.

#### **Keywords**

in situ Raman spectroscopy, in situ X-ray diffraction, Li-ion batteries, Li-rich materials, oxygen redox

Received: September 11, 2017 Revised: January 5, 2018 Published online: February 19, 2018

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